Attorney Docket No.

018793-243

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yoriaki Matsuzaki et al.

Application No.: 09/806,340

Filing Date:

March 29, 2001

Group Art Unit: 1714

. Examiner: Callie E. Shosho

Confirmation No.: 8537

Title: YELLOW HUE COMPOUND AND AQUEOUS INK FOR INK-JET RECORDING SYSTEM USING THE

SAME

## FOURTH INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| Sir: |      |   |  |   |                                       |   |
|------|------|---|--|---|---------------------------------------|---|
| PTC  |      | losed is a<br>l9 for the abo  | FOURTH ve-identified patent  |   | closure Statement and                 | accompanying form                                 |
|      |      | The fee of \$ A statement A statement § 1.17(p) are Charge A check in t | under 37 C.F.R. § under 37 C.F.R. § e also enclosed. to Depose he amount of\$1 | set forth in 37 C.F<br>1.97(e) is also er<br>1.97(e), and the f<br>sit Account No. 02<br>80.00 is enclo | F.R. § 1.17(p) is also en<br>nclosed. | nclosed.<br>as set forth in 37 C.F.R.             |
|      | that | : may be requ   |  |   |                                       | C.F.R. §§ 1.16, 1.17 and sit Account No. 02-4800. |
|      |      | 1   |  | Res   | pectfully submitted,                  |   |
|      |      |   |  | BUC   | CHANAN INGERSOLL                      | PC  |
| Alex | cand | k 1404<br>ria, Virginia 2<br>6-6620                                     | 2313-1404  | Ву  | Melissa M. Hayworth                   | yll   |
| Date |      | antambar 15   | 2005   |   | Pegistration No. 45                   | 774   |

| IN THE UNITED STATES PATEN   | T AND TRADEMARK OFFICE       |
|--|------------------------------|
| In re Patent Application of  | )                            |
| Yoriaki Matsuzaki et al. (SEP 1 5 2005   | ) Group Art Unit: 1714       |
| Application No.: 09/806,340  | ) Examiner: Callie E. Shosho |
| Filed: March 29, 2001  | ) Confirmation No.: 8537     |
| For: YELLOW HUE COMPOUND AND AQUEOUS INK FOR INK-JET RECORDING SYSTEM USING THE SAME | )<br>)<br>)<br>)             |

## FOURTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

A fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

The documents submitted were cited in an Office Action received in Japanese Patent Appln. No. 2000-223256 dated May 20, 2005.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

09/16/2005 SZEWDIE1 00000119 09806340

01 FC:1806

180.00 GP

**BUCHANAN INGERSOLL PC** 

Date September 15, 2005

By:

Melissa M. Hayworth Registration No. 45,774

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(703) 836-6620

| Substitute for form 144 | 9A/PTO & 1449B/PTO |         | Complete if Known         |                          |  |
|-------------------------|--------------------|---------|---------------------------|--------------------------|--|
|                         | FOURTH             |         | <b>Application Number</b> | 09/806,340               |  |
|                         |                    |         | Filing Date               | March 29, 2001           |  |
| INFOF                   | RMATION DIS        | CLOSURE | First Named Inventor      | Yoriaki Matsuzaki et al. |  |
| STATEMENT BY APPLICANT  |                    |         | Examiner Name             | Callie E. Shosho         |  |
| Sheet                   | 1 of               | 1       | Attorney Docket Number    | 018793-243               |  |

| U.S. PATENT DOCUMENTS |                    |                         |   |                                     |  |  |  |
|-----------------------|--------------------|-------------------------|---|-------------------------------------|--|--|--|
| Examiner              | Document<br>Number | Kind Code<br>(if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |  |  |  |
| SEP 15.               | 102. 草<br>20人      |                         |   |                                     |  |  |  |
| SEP 1                 | - K-/              |                         |   |                                     |  |  |  |
| ART & TRA             |                    |                         |   |                                     |  |  |  |
|                       |                    |                         |   |                                     |  |  |  |
|                       |                    |                         |   |                                     |  |  |  |

|                      |                    |                         | FOREIGN PATENT DO | CUMENTS                                |             |                        |                       |                  |      |          |                  |
|----------------------|--------------------|-------------------------|-------------------|--|-------------|------------------------|-----------------------|------------------|------|----------|------------------|
|                      |                    |                         |                   | Date of<br>Publication<br>(MM-DD-YYYY) | STATUS      |                        |                       |                  |      |          |                  |
| Examiner<br>Initials | Document<br>Number | Kind Code<br>(if known) | Country           |  | Translation | Partial<br>Translation | Eng. Lang.<br>Summary | Search<br>Report | IPER | Abstract | Cited in<br>Spec |
| -                    | 0802065            |                         | Europe            | 10-22-1997                             |             |                        |                       |                  |      |          |                  |
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|                      |                    |                         |                   |  |             |                        |                       |                  |      |          |                  |
|                      |                    |                         |                   |  |             |                        |                       |                  | -    | _        |                  |
|                      |                    |                         |                   |  |             |                        |                       |                  |      |          |                  |
|                      |                    |                         |                   |  |             |                        |                       |                  | 1    |          |                  |

| NON-PATENT LITERATURE DOCUMENTS   |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |  |
| Office Action received for Japanese Patent Appln. No. 2000-223256 dated May 20, 2005  |  |  |  |  |  |  |
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|   |  |  |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.